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<td><strong>FEDERAL</strong></td>
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</table>
| Federal Energy Regulatory Commission (FERC)  
  o Office of Energy Projects (OEP) | **Required Permit:**  
  o Certificate of Public Convenience and Necessity  
  o National Environmental Policy Act (NEPA) – Environmental Impact Statement Review  
  o Pipeline abandonment under Section 7(b) of the Natural Gas Act | Filed Formal FERC Application – February 28, 2014 | Receive FERC Certificate – January 2015 |
| U.S. Army Corps of Engineers (USACE)  
  o New England District – Regulatory Division  
  o New York District – Regulatory Division | **Required Permit:**  
  o Section 10 Rivers and Harbors Act  
  o Section 404 Clean Water Act (CWA) | Filed NY - March 21, 2014  
  Filed NE - March 25, 2014 | 1st Quarter 2015 |
| U.S. Environmental Protection Agency (USEPA)  
  o Region 1 (New England)  
  o Region 2 (New York) | **Consultations:**  
  o Wetland review during USACE Section 404 permit process  
  o Consultation during NEPA review and oversight of air permits  
  o Spill Prevention, Control and Countermeasures (SPCC) Plan  
  o SIP Conformity | No USEPA approval required. Consultation through the USACE permitting process. | N/A |
| National Marine Fisheries Service (NOAA Fisheries)  
  o Office of Protected Resources | **Consultations:**  
  o Federal Endangered Species Act  
  o Magnuson-Stevens Fishery Conservation and Management Act | Ongoing Consultation | N/A |
| U.S. Fish and Wildlife Service (USFWS)  
  o New England Field Office  
  o New York Field Office | **Consultations:**  
  o Federal Endangered Species Act  
  o Migratory Bird Treaty Act  
  o Fish and Wildlife Coordination Act | Ongoing Consultation | N/A |
| **STATE OF NEW YORK** | | | |
| New York State Department of Environmental Conservation (NYSDEC)  
  o Division of Environmental Permits  
  o Bureau of Water Permits  
  o Bureau of Habitat (Freshwater Wetlands Program) | **Required Permits:**  
  o Section 401 Water Quality Certification (WQC) pursuant to Section 401 of the CWA  
  o Freshwater Wetland Permit  
  o State Pollution Discharge Elimination System (SPDES) Hydrostatic Test Water  
  o Protection of Waters Permit  
  o Construction Stormwater General Permit - Stormwater Pollution Prevention Plan (SWPPP) | Filed 401, Freshwater Wetlands, Protection of Waters, and Hydro Test on April 10, 2014  
  SWPPP will be filed in early May following pre-application meeting with NYC DEP. | 1st Quarter 2015 |
| New York State Department of Environmental Conservation (NYSDEC)  
  o Division of Fish, Wildlife & Marine Resources  
  o Bureau of Wildlife and Fisheries  
  o New York Natural Heritage Program | **Consultation:**  
  o State-listed threatened and endangered species consultations | Ongoing Consultation | N/A |
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| New York State Department of Environmental Conservation (NYSDEC) | Required Permits:  
  - Air Permits for Compressor Station Modifications | Filed Southeast February 28, 2014 | 1<sup>st</sup> Quarter 2015 |
|  
  Division of Air Resources |  | Filed Stony Point March 3, 2014 |  |
| New York State Department of State | Required Permit:  
  - Coastal Zone Consistency Review | Filed February 28, 2014 | 4<sup>th</sup> Quarter 2014 |
|  
  Office of Communities & Waterfronts |  |  |  |
| New York State Office of General Services | Required Permit:  
  - Application for Use of Land Underwater | July 2014 | 1<sup>st</sup> Quarter 2015 |
|  
  Real Estate Development - Land Management |  |  |  |
| New York State Office of Parks, Recreation & Historic Preservation | Consultation:  
  - Review and consultation regarding Section 106, National Historic Preservation Act  
  - Review and consultation regarding potential encroachment across state lands | Ongoing Consultation | N/A |
|  
  Historic Preservation Office – Environmental Review Program |  |  |  |
| New York City Department of Environmental Protection | Required Permits:  
  - Stormwater Pollution Prevention Plan and erosion and sediment control  
  - Land Use Permit for Catskill Aqueduct crossing | SWPPP will be filed in early May 2014 following pre-application meeting with NYC DEP.  
  Land Use Permit process is underway. Application for permission to conduct geotech investigations at the aqueduct crossing was filed March 21, 2014 | 4<sup>th</sup> Quarter 2014 |
| County of Westchester and County of Rockland, New York | Consultation:  
  - Consultation regarding encroachment across county lands | Ongoing Consultation | N/A |
| Municipal Agencies, New York | Consultation:  
  - Municipal wetland permits  
  - Other municipal requirements related to pipeline construction, including steep slope, erosion control, tree clearing, stream conservation and stormwater programs, air quality, impacts to agricultural districts | Ongoing Consultation | N/A |

**STATE OF CONNECTICUT**

| Connecticut Department of Energy and Environmental Protection | Required Permits:  
  - 401 Water Quality Certification  
  - Inland Wetlands and Watercourses  
  - Water Diversion Permit (Non-consumptive Use) | Filed 401 and Hydro Test Registration on March 28, 2014 | 1<sup>st</sup> Quarter 2015 |
|  
  Bureau of Water Protection and Land Reuse |  | Stormwater GP |  |
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<td>Connecticut Department of Energy and Environmental Protection</td>
<td>Consultation:</td>
<td>Ongoing Consultation</td>
<td>N/A</td>
</tr>
<tr>
<td>o Bureau of Natural Resources</td>
<td>o General Permit for discharges of hydrostatic test water</td>
<td></td>
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<tr>
<td>o Stormwater and Dewatering Wastewaters from Construction</td>
<td>will be filed in May 2014 per discussion with CTDEN</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Connecticut Department of Energy and Environmental Protection</td>
<td>Required Permits:</td>
<td>Filed Cromwell on February 4, 2014</td>
<td>1st Quarter 2015</td>
</tr>
<tr>
<td>o Bureau of Air Management</td>
<td>o Air Permits for modifications to compressor stations</td>
<td>Filed Chaplin on January 31, 2014</td>
<td></td>
</tr>
<tr>
<td>Connecticut Department of Energy and Environmental Protection</td>
<td>Consultation:</td>
<td>N/A</td>
<td>November 7, 2013, the Connecticut Siting Council issued its decision on Petition No. 1073, finding that Federal agencies have exclusive jurisdiction over the AIM Project</td>
</tr>
<tr>
<td>o Connecticut Siting Council</td>
<td>o Review and certification of energy facilities through the FERC process</td>
<td></td>
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<tr>
<td>Connecticut Department of Economic and Community Development</td>
<td>Consultation:</td>
<td>Ongoing consultation</td>
<td>4th Quarter 2014</td>
</tr>
<tr>
<td>Offices of Culture and Tourism</td>
<td>o Review and consultation under Section 106 of the National Historic Preservation Act</td>
<td></td>
<td></td>
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<tr>
<td>Connecticut State Historic Preservation Office</td>
<td>o Connecticut Office of the State Archaeologist</td>
<td></td>
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<tr>
<td>Connecticut Indian Affairs Council</td>
<td>Consultation:</td>
<td>Ongoing consultation</td>
<td>4th Quarter 2014</td>
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<tr>
<td>o Review and consultation under Section 106 of the National Historic Preservation Act</td>
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<td>Connecticut Commission on Culture and Tourism</td>
<td>Consultation:</td>
<td>Ongoing consultation</td>
<td>4th Quarter 2014</td>
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<tr>
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<tr>
<td>Municipal Inland Wetlands and Watercourse Agencies</td>
<td>Consultation:</td>
<td>Consultation Only</td>
<td>N/A</td>
</tr>
<tr>
<td>o Inland Wetlands and Watercourses</td>
<td>o Inland Wetlands and Watercourses</td>
<td>On April 14, 2014, Algonquin provided a copy of the 401 WQC Application to each IWMA.</td>
<td></td>
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### Table 1.11-1R
Anticipated Environmental Permits, Review and Consultation List

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|        | Franklin Inland Wetlands and Watercourses Commission  
          Calli Carboni, Chair  
          Franklin Town Hall  
          7 Meeting House Hill Road  
          Franklin, Connecticut 06254  
          Lebanon Inland Wetlands Commission  
          James McCaw, Chair  
          Lebanon Town Hall  
          579 Exeter Road  
          Lebanon, CT 06249  
          Montville Inland Wetlands Commission  
          Douglas K. Brush, Chair  
          Montville Town Hall  
          310 Norwich-New London Tumpike  
          Uncasville, CT 06382  
          Norwich Inland Wetlands, Water Courses & Conservation Commission  
          Raymond Baribeault, Chair  
          Norwich City Hall  
          100 Broadway  
          Norwich, CT 06360  
          Rocky Hill Inland Wetlands and Watercourses Commission  
          Ed Charamut, Chair  
          Rocky Hill Town Hall  
          761 Old Main Street  
          Rocky Hill, CT 06067 | | |

#### STATE OF RHODE ISLAND

| Rhode Island Department of Environmental Management  
  o Bureau of Environmental Protection  
  o Office of Water Resources | Required Permits:  
  o Notice of Intent - Storm Water General Permit for Construction Activity  
  o RIPDES Waste Water Discharge Permit for Hydrostatic Test Water | 2nd Quarter 2014 | 1st Quarter 2015 |
|-----------------------------|-------------------------------------------------|-----------------|-----------------|
| Rhode Island Department of Environmental Management  
  o Bureau of Environmental Protection  
  o Office of Air Resources | Required Permits:  
  o Air Permit for Modifications to Burntville Compressor Station | February 3, 2014 | 1st Quarter 2015 |
| Rhode Island Division of Planning and Development  
  o Natural Heritage Program | Consultation:  
  o Rhode Island Endangered Species or Animals and Plants | Consultation complete | N/A |
| Rhode Island Historical Preservation & Heritage Commission | Consultation:  
  o Review under Section 106 of the National Historic Preservation Act | Ongoing consultation | 4th Quarter 2014 |

#### COMMONWEALTH OF MASSACHUSETTS

| Massachusetts Executive Office of Energy and Environmental Affairs  
  o MEPA Office | Required Permit:  
  o MEPA Certificate | Filed February 18, 2014 | March 31, 2014 No further MEPA review required. |
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| o Massachusetts Office of Coastal Zone Management (CZM) | Consistency Determination  
  o CZM Consistency (applies only to the Assonet M&R Station and North Fall River M&R Station improvements, which are at the same location) | January 2014 | Received consistency determination February 6, 2014. |
| Massachusetts Department of Environmental Protection  
  o Northeast Regional Office | Required Permit:  
  o 401 Water Quality Certification | Filed April 11, 2014 | 1st Quarter 2015 |
| Massachusetts Department of Transportation | MassDOT | 2nd Quarter 2014 | 1st Quarter 2015 |
| Massachusetts Energy Facilities Siting Board | Consultation:  
  o Review and comment on FERC-regulated energy projects | N/A | N/A |
| Massachusetts Division of Wildlife and Fisheries  
  o Natural Heritage & Endangered Species Program | Consultation:  
  o Massachusetts Endangered Species Act (MESA) | Consultation complete | N/A |
| Massachusetts Historical Commission | Consultation:  
  o Section 106, National Historic Preservation Act | Ongoing consultation | 4th Quarter 2014 |
| Massachusetts Commission on Indian Affairs | Consultation:  
  o Section 106, National Historic Preservation Act | Ongoing consultation | 4th Quarter 2014 |
| Massachusetts Wetlands Protection Act  
  o Permits from Local Municipal Conservation Commissions | Required Permit:  
  o Order of Conditions – Massachusetts Wetlands Protection Act  
  o Local Wetland Bylaws/Ordinances | 3rd Quarter 2014 | 1st Quarter 2015 |
| Municipal Historical Commissions | Consultations:  
  o Section 106, National Historic Preservation Act (16 USC § 470f) | Ongoing consultation | 4th Quarter 2014 |
| Massachusetts Department of Conservation and Recreation | Consultations:  
  Section 106, National Historic Preservation Act (16 USC § 470f) | Ongoing consultation | 4th Quarter 2014 |

**NATIVE AMERICAN TRIBES**

| Federally Recognized | Consultations:  
  o Section 106, National Historic Preservation Act (16 USC § 470f) | Ongoing consultation | Ongoing consultation |
|----------------------|---------------------------------------------------------------|------------------|-------------------|
| o Wampanoag Tribe of Gay Head (Aquinnah)  
  o Mashpee Wampanoag Indian Tribe  
  o Narragansett Indian Tribe  
  o Mohegan Indian Tribe  
  o Mashantucket Pequot Tribal Nation  
  o Piscataqua Nation of Oklahoma  
  o Delaware Tribe of Indians  
  o St. Regis Mohawk Tribe  
  o Stockbridge-Munsee Community Band of Mohican Indians | | | |
| Non-Federally Recognized | Consultations:  
  o Section 106, National Historic Preservation Act (16 USC § 470f) | Ongoing consultation | Ongoing consultation |
| o Ramapough Lenape Indian Nation  
  o Golden Hill Tribe of the Paugussett Indian Nation  
  o Schaghticoke Tribal Nation  
  o Eastern Pequot Tribal Nation | | | |